

No. O-15012/02/2024-Research
Government of India
NITI Aayog
(Governance & Research Vertical)

Sansad Marg, New Delhi-110001
Dated the 09th September, 2024

PUBLIC NOTICE

Subject: Request/Invitation for Expression of Interest (REoI) for Research Projects -reg.

1. NITI Aayog hereby requests/invites expressions of Interest (EoI) for conducting research/study from institutions/organisations of repute (including university/deemed university) for undertaking a Research Study on **India Innovation Index**. The details of the studies is enclosed as **Annexure I** to this Request for Expressions of Interest (REoI). The detailed Research Scheme of NITI Aayog-2021 (RSNA-2021) guidelines and its amendments, if any: read with this REoI will govern the process and may be seen at NITI Aayog's website.
2. All the institutions/organisations are requested to go through the Guidelines of the **Research Scheme of NITI Aayog 2021 (Guidelines/RSNA-2021)** before responding to this public notice, especially to satisfy themselves that they fulfill all the eligibility criteria for availing of grant under the said scheme and also that they can conduct the research study project as per the Guidelines.
3. No Applicant or its Associate shall submit more than one Application for the Consultancy. An Applicant applying individually or as an Associate or as part of consortium shall not be entitled to submit another application either individually or as a member of any consortium, as the case may be. Applicants are advised to provide sufficient details in their applications to allow for an informed and fair evaluation/review.
4. The hard copy of the EoI as per the format prescribed at **Annexure- II** along with the undertaking as per the **Annexure -III** must be submitted by hand/Registered post to Sh. Sanchit Jindal, ASO (**Governance & Research**) **Room # 435**, NITI Aayog, Sansad Marg, New Delhi-110001. The EoI must be sent in envelopes superscribed with the words "EoI on (name of the topic as mentioned in the corresponding Annexure)" and the same must reach the NITI Aayog within 21 days of the notified date of Public Notice i.e **01-10-2024**. Before 4:00 PM (IST) EoI must also be sent through E-mail at address jindal.sanchit@gov.in.
5. For any query in this regard, the Sh. Sanchit Jindal, ASO (**Governance & Research**) may please be contacted over email jindal.sanchit@gov.in



(Dr Banusri Velpandian)
Sr. Specialist, Law (G&R)

Research study: India Innovation Index

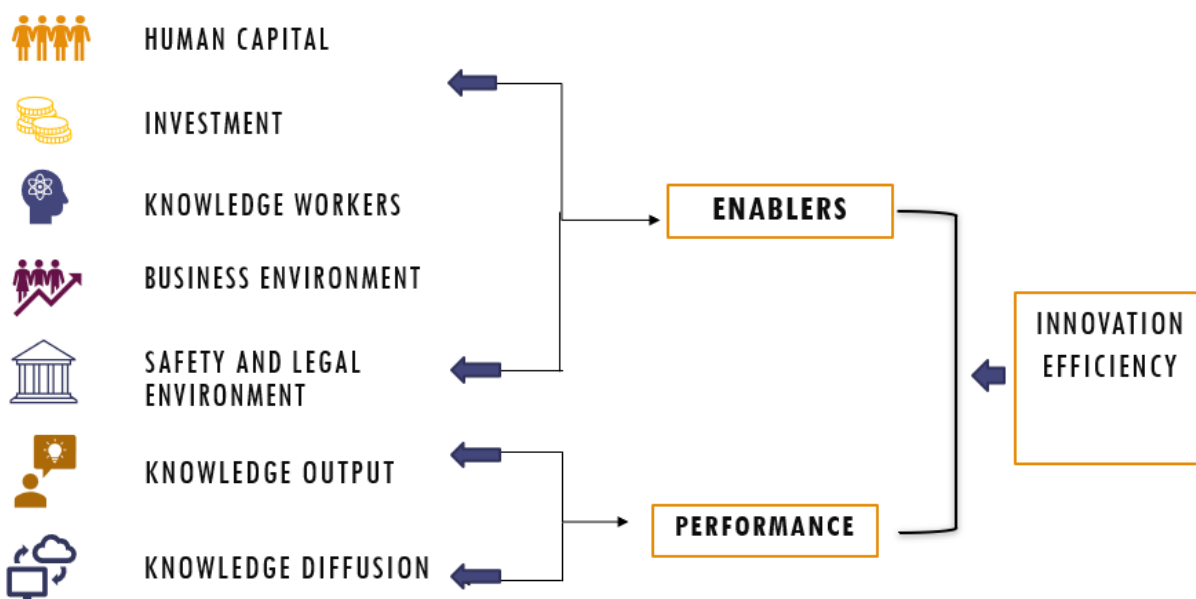
1. Background

- Innovation has always been a driver of change and progress in an economy, as it disrupts traditional practices, encourages new processes, and generates new business models. The Government of India recognises the importance of ‘Innovation’ and had declared 2010-20 as the Decade of Innovation. Over the last few years, ‘Innovation’ has been made a priority by the Government to realise the goal of a “New India”.
- Taking a clue from the Global Innovation Index, the Government held a series of brainstorming sessions with participation from the industry, academia, research organisations, and the State Governments. The discussion was focused on methods for improving India’s overall innovation performance, and it emerged that there was a need to develop an India-specific framework for measuring the innovation capacity and capabilities of the States/the Union Territories (UTs).

About the India Innovation Index

- The India Innovation Index (III) is envisaged to encourage States/UTs to examine and improve their innovation environment and innovation performance, which could further lead to an improvement in India’s overall innovation performance. The Index is designed as a holistic tool which can be used by policymakers across the country to identify the challenges to be addressed and the strengths to leverage when designing the economic growth policies for their regions. It also serves to infuse the “Spirit of Cooperative and Competitive Federalism” amongst the States/UTs.
- To do so, the Index first examines the conduciveness of the State/UT environment to foster innovation and the results of its innovative practices. This is done by using a framework of indicators organized in pillars, where the Index score for each State/UT is calculated based on the value of each indicator and then computing the scores based on the framework. Then the Index benchmarks this innovation performance, shown by the Index score and its subcomponents, against their peers to understand the reasons for differential performance.
- The India Innovation Index is calculated as the average of the scores of Enablers and Performance. The Enablers are the factors that underpin innovative capacities, grouped in five pillars: (1) Human Capital, (2) Investment, (3) Knowledge Workers, (4) Business Environment, and (5) Safety and Legal Environment. The Performance captures benefits that a nation derives from the inputs, divided in two pillars: (6) Knowledge Output and (7) Knowledge Diffusion.

- Framework – India Innovation Index



- The values of the indicator are used to compute Indicator Scores with a standardized scale. The weighted aggregate (or sum) of the indicator scores forms the Pillar Score, and then the average of the pillar score forms the dimension (Enabler & Performance) score. The average of the dimension scores gives the Overall Innovation Score which is used to rank the States/UTs, while the ratio of the dimension scores gives the Innovation Efficiency Ratio.
- The Index covers all 28 States and 8 Union Territories, which are highly diverse in terms of their demographic, economic, and sociocultural factors. Hence, in order to make a fair and reasonable assessment of the innovation performance of the States and UTs, they are grouped into three categories: Major States; North-East and Hill States; and Union Territory and City States. The composition of these categories was updated in III 2021 to reflect the separation of the UT of Ladakh from Jammu & Kashmir and the ‘merger’ of Dadra & Nagar Haveli and Daman & Diu as a single Union Territory
- NITI Aayog releases India Innovation Index every year to rank States and UTs based on their innovative capabilities and performance. India Innovation Index is prepared by the NITI Aayog in collaboration with the knowledge partner.
- The first edition of the Index, called India Innovation Index 2019 (III 2019), was launched in October, 2019. The second edition, India Innovation Index 2020 (III 2020), was launched in January, 2021. The third edition, India Innovation Index 2021 (III 2021) was launched in July, 2022.
- India Innovation Index 2019 had 33 indicators which increased to 36 in India Innovation Index 2020 and 66 in India Innovation Index 2021.

2. Terms of Reference

- Identify quantifiable and qualitative indicators (input as well as output) to measure innovation performance of Indian States and UT, while considering regional disparities in innovation across India
- Develop a Weighting Scheme for each Indicator, assign appropriate weights to each indicator based on its relative importance to the overall Innovation ecosystem.

- iii. Determine reliable and transparent sources for data collection for each indicator.
- iv. Ensure the transparency and replicability of the index methodology for calculating the index score.
 - v. Identify International Innovation Indices for bench-marking India's performance.
 - vi. Recommend future improvement and development of the index.
- vii. Develop an Index report to rank States and UTs. Report should outline the conceptual framework, methodology, and data sources for the India Innovation Index.
- viii. The time duration of the study would be 6 months following the award of the contract.

3. Conditions for Minimum Eligibility of Applicants

To be eligible for evaluation of its Proposal, the applicant shall fulfil the following:

- (A) **Technical Capacity:** The Applicant must be a legal entity as per Applicable Laws (which would include, inter-alia, academic institutions/profit/non-profit organisation). The Applicant shall have, **over the past 5 (five) years preceding the Proposal Due Date (PDD), undertaken a minimum of 3 (three) Eligible Assignments.** The Applicant should not have been debarred or blacklisted by the Central Government, any State Government, a Statutory Authority, or a Public-Sector Undertaking, from participating in any consulting assignment.
- (B) **Financial Capacity:** The Applicant shall have a minimum turnover of **Rs. 2 (two) crore per annum** during each of the 3 (three) financial years FY 2023-24, FY 2022-23 and FY 2021-22. For avoidance of doubt, turnover hereunder refers to the total revenue of the applicant.
- (C) **Availability of Key Personnel:** The Applicant shall offer and make available all Key Personnel requirements including Team Leader, Deputy Team Leader, Senior Researcher, Junior Researcher, Content Editor, etc.

Format of Expression of Interest (EoI)

Respondents Ref No.:
Date:

Name-
Designation-
----- Vertical,
NITI Aayog,
Sansad Marg, New Delhi

Dear Sir/Madam,

Subject: RESPONSE TO – EOI FOR _____

We, the undersigned, offer the following information in response to the Expression of Interest sought by you vide your Notification No. _____, dated _____

1. Scope of work and organisation

- (i) The broad scope of work or service (briefly in about 100 words -Remember to tailor the content to the specific project or opportunity you're expressing interest in.)
- (ii) Type of Inputs to expected be provided by NITI Aayog on the subject
- (iii) Eligibility of the Applicant(s) for the EoI/study (Lead Applicant as applicable):

Type of organisation	Address of Organisation	Registration details	Single or Joint or collaboration (Name of all organisations)	Whether blacklisted by Govt of India/ State Govt/any Other department

2. Required Documents:

- (i) Copy of Registration Certificate of the Institution/Organisation **OR** Articles of Association {Copy of the Constitution/MOA (Memorandum of Association) of the Institute/ Any letter issued by UGC if University }
- (ii) Registration number (Copy) in NGO-Portal “Darpan” of NITI Aayog, if applicable.
- (iii) Photo copy of PAN card of the organisation.

3. Undertaking: As per **Annexure – III** [To be given separately on A4 size letter head of the Applicant/ Lead Applicant)

4. Information of PI and Organisation

Details of Bidder	
1.	Name of the Principal Investigator (PI)
2.	Address of PI
3.	Status of the Organisation (Public Ltd./ Pvt. Ltd./ NGO/ Society/Trust/ University/ Autonomous Body/ Deemed University)
4.	Status as per Registration certificate/ Act

5.	Name and Designation of the contact person:	
	Telephone No. (with STD code)	
	Mobile Number	
	Email of the Contact/ key person	
	Fax No. (with STD code)	
	Website:	
6.	Particulars of associate applicants/ JV members/ consortium members/ sub-contractors/collaborators	

- A. We have examined and have no reservations to the EoI Document including the self-declaration or any addendum that may be issued by NITI Aayog.
- B. We are duly authorized to represent and act on behalf of all collaborating applicants, which shall include jointly and severally / JV/ consortium/ sub-contractors as applicable.
- C. Our response is valid till _____ (Minimum validity is of three months from the submission deadline)

Yours sincerely,

(Sign)

NAME OF THE ENTITY

NAME OF THE AUTHORIZED PERSON

DESIGNATION

NATURE OF AUTHORISATION- BOARD RESOLUTION/LETTER OF AUTHORITY/ MOA/OM/POA
DATE

OFFICE SEAL/STAMP

SELF-DECLARATION FORM (to be printed on the applicants letter head)

----- hereby declares to NITI Aayog that:

1. In the last three years, we, the lead applicant or any of our Associates / partners/ consortium members have neither failed to perform on any contract, as evidenced by imposition of a penalty by an arbitral or judicial authority or a judicial pronouncement or arbitration award against the Applicant, nor been expelled from any project or contract by any public authority nor have had any contract terminated by any public authority for breach on our part.
2. We as Respondent(s) do not have any Conflict of Interest in accordance with the scope of the EOI in terms of projects or studies or assignments either ongoing or completed: having competing interests or constituting the same key personnel, during the preceding 3 (three) years as on the deadline of EoI submission.
3. We have not directly or indirectly or through an agent engaged or indulged in any corrupt practice, fraudulent practice, coercive practice, undesirable practice or restrictive practice, in respect of any tender or request for proposal or convicted by a Court of Law or indicted or adverse orders passed by a regulatory authority which would cast a doubt on our ability to undertake the Consultancy for the Project.
4. In regard to matters relating to security and integrity of the country, we have not been charge-sheeted by any agency of the Government or convicted by a Court of Law for any offence committed by us or by any of our Associates.
5. We are solvent and **are not** having our management affairs administered by the Courts or IBC. We are in the position to continue being sustainable in connection to performance of the obligations for this Consultancy procurement action.
6. We shall abide by all the conditions of the REoI and the terms in respect of any matter arising out of the detailed RFP Document if to be submitted on being shortlisted or concerning or relating to the Selection Process including the award of Consultancy.

Yours sincerely,

(Sign)

NAME OF THE ENTITY

NAME OF THE AUTHORIZED PERSON

DESIGNATION

NATURE OF AUTHORISATION- BOARD RESOLUTION/LETTER OF AUTHORITY/ MOA/OM/POA

DATE

OFFICE SEAL/STAMP